## An Adaptive Critic Approach to Reference Model Adaptation

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#### **Abstract**

Neural networks have been successfully used for implementing control architectures for different applications. In this work, we examine a neural network augmented adaptive critic as a Level 2 intelligent controller for a C-17 aircraft. This intelligent control architecture utilizes an adaptive critic to tune the parameters of a reference model, which is then used to define the angular rate command for a Level 1 intelligent controller. The present architecture is implemented on a high-fidelity non-linear model of a C-17 aircraft. The goal of this research is to improve the performance of the C-17 under degraded conditions such as control failures and battle damage. Pilot ratings using a motion based simulation facility are included in this paper. The benefits of using an adaptive critic are documented using time response comparisons for severe damage situations.

#### 1 Introduction

In the last 30 years, at least 10 aircraft have experienced major flight control system failures claiming more than 1100 lives<sup>1, 2</sup>. The Intelligent Flight Control (IFC) research program at NASA Ames began in 1992 to address adaptive aircraft control. The major feature of IFC technology is its ability to adapt to unforeseen events through the use of self-learning neural flight control architecture. These events can include sudden loss of control surfaces, engine thrust, and other causes that may result in the departure of the aircraft from safe flight conditions.

To provide a real-time system capable of compensating for a broad spectrum of failures, NASA researchers have investigated a neural flight control architecture<sup>3, 4</sup>, developed by Rysdyk and Calise<sup>5</sup>, for both flight and propulsion control. The concept was to develop a system capable of utilizing all remaining sources of control power after damage or failures. The Integrated Neural Flight and Propulsion Control System (INFPCS) uses a daisy-chain control allocation technique to ensure that conventional flight control surfaces will be utilized under normal operating conditions. Under damage or failure conditions, the

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The NASA Ames Intelligent Flight Controller (IFC) uses a neural flight control architecture that is based upon the augmented model inversion controller. This direct adaptive tracking dynamic inverse controller integrates feedback linearization theory with both pretrained and on-line learning neural networks. Pre-trained neural networks are used to provide estimates of aerodynamic stability and control characteristics required for model inversion. On-line learning neural networks are used to generate command augmentation signals to compensate for errors in the estimates and from the model inversion. The on-line learning neural networks also provide additional potential for adapting to changes in aircraft dynamics due to damage or failure. Reference models are used to filter command inputs in order to specify desired handling qualities. A Lyapunov stability proof guarantees boundedness of the tracking error and network weights<sup>3</sup>. Successful piloted simulation studies were also performed at NASA Ames Research Center on a commercial transport aircraft simulator<sup>3</sup>. Subjects included both NASA test pilots and commercial airline crews.

The research reported in this paper is an extension of the above work to include reference model adaptation using adaptive critic technologies<sup>6,7,14</sup> and validation of this approach using a non-linear C-17 simulation test bed. Reference model adaptation is considered a higher level of intelligence in the hierarchy of intelligent control<sup>8,12,13</sup>. It is necessary in situations where the system is degraded to a level where control authority is insufficient to achieve the required reference model characteristics. The idea then is to degrade the reference model to match the capabilities of the airplane. This is achieved using the adaptive critic technology. Adaptive critics have been successfully used in a reference model application for an engine control problem<sup>14</sup>. Other applications of adaptive critics include aircraft control<sup>9,10</sup> and spacecraft control<sup>11</sup>.

This paper presents overviews of adaptive critic technology and intelligent flight control architecture based on the idea of levels of intelligent flight control<sup>8,12,13</sup>. Next, we elaborate the adaptive critic implementation for reference model adaptation. Finally, the results of the application to aircraft control problem are discussed.

### 2 Levels of Intelligent Control

Over the past decade, several innovative control architectures utilizing the intelligent control tools have

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been proposed. KrishnaKumar<sup>8,12</sup>, has proposed a classification scheme based on the ability of the intelligent flight control architecture for self-improvement (see Table 1). The classification scheme divides the control architectures among levels of intelligent control. For instance, most of the proposed architectures can be divided among level 0, level 1, level 2, and level 3 intelligent control schemes. Based on this classification scheme, several seemingly differing control architectures can be looked at as achieving similar goals.

**Table 1.** The Levels of Intelligent Control

Table 1: The Bevels of Intemgent Control		
L	Self	Description
	improvement	
	of	
0	Tracking	Robust Feedback Control: Error
	Error (TE)	tends to zero.
1	TE + Control	Adaptive Control: Robust feedback
	Parameters	control with adaptive control
	(CP)	parameters (error tends to zero for
		non-nominal operations; feedback
		control is self improving).
2	TE + CP +	Optimal Control: Robust, adaptive
	Performance	feedback control that minimizes or
	Measure	maximizes a utility function over
	(PM)	time.
3	TE+CP+PM	Planning Control: Level 2 + the
	+ Planning	ability to plan ahead of time for
	Function	uncertain situations, simulate, and
		model uncertainties.

#### 3 Adaptive Critics

Adaptive critic designs have been defined as designs that attempt to approximate dynamic programming based on the principle of optimality. Adaptive critic designs consist of two entities, an action network that produces optimal actions and an adaptive critic that estimates the performance of the action network. The adaptive critic is an optimal or near optimal estimator of the cost-to-go function that is trained (adapted) using recursive equations derived from dynamic programming. The critic is termed adaptive as it adapts itself to output the optimal cost-to-go function from a given system state. The action network is adapted simultaneously based on the information provided by the critic. The action network consists of any piece of the overall control architecture that has an effect on the final performance of the closed-loop system. In typical applications, the action network consists of the controller that is optimized using the critic. The inputs required for designing an adaptive critic design are

- The cost function or the performance measure.
- A parameterized representation of the critic.

- A parameterized representation of the action network.
- A method for adapting the parameters of the critic.

#### 3.1 Choice of the cost function

The choice of the cost function comes from the problem at hand. The cost could be distributed over the entire length of time or be defined at the end of the process. Typical examples of the two types are minimizing the fuel spent for a certain flight mission or intercepting a projectile where the utility depends only on the final error. Typically, the cost function can be given as,

(1) 
$$J = \sum_{i=0}^{T} \gamma^{i} U[x(i), u(i)]$$

where U[x(i), u(i)] is the utility function or a penalty function that is a function of the state of the system, x(i), and the control (action), u(i), given to the system. ' $\gamma$ ' is a discount factor that discounts the future performance.

The dynamic programming principle states that we can formulate an optimal control problem where we can get an optimal solution by minimizing the cost-to-go function, J(t), which is defined as,

(2) 
$$J(t) = \sum_{i=1}^{T-t} \gamma^{i} U[x(t+i), u(t+i)]$$

So the critic is designed to approximate the optimal form of this cost-to-go function or its derivatives with respect to the state of the system depending on the particular adaptive critic design.

## 3.2 Parameterized representation for the critic and the action network

Parameterization of the critic and the action network is achieved by the use of neural networks. Having learnt to model a system, neural networks can be used to provide sensitivities of the system outputs with respect to the system inputs. This proves to be useful information especially for the training of our intelligent control architecture. Reference 6 provides detailed insight into the area of neural networks and their use in control.

#### 3.3 Training the critic

Several methods have been proposed for training adaptive critics that are based on the dynamic programming equation. These methods vary based on the level of complexity and the degree of accuracy sought for training the cost-to-go function. Some of these methods are the heuristic dynamic programming (HDP) approach, the dual heuristic programming (DHP) approach, and the global dual heuristic dynamic (GDHP) programming approach. The DHP approach was used in this study and is outlined in section 5.1 References 6-7 provide a more detailed discussion on the subject.

# 4 NASA Ames Intelligent Flight Control Architecture

Adaptive critic methods have been successfully applied to IFC architectures<sup>9-12,14</sup>. Figure 1 presents the implementation of a Level 2 Intelligent Controller on a C-17 test bed used in this study. The levels of intelligent control outlined earlier are labeled in the figure. It should be noted that Level 0 is non-adaptive whereas Level 1 is adaptive. Level 1 is non-optimal whereas Level 2 is optimal. Details of the figure are presented below.

Reference Models: The pilot commands roll and pitch rates and aerodynamic lateral accelerations through stick and rudder pedal inputs. These commands are then transformed into body-axis rate commands, which also include turn coordination, level turn compensation, and yaw-dampening terms. First-order reference models are used to filter these commands in order to shape desired handing qualities.

<u>P + I Error Controller (Level 0):</u> Errors in roll rate, pitch rate, and yaw rate responses can be caused by inaccuracies in aerodynamic estimates and model inversion. Unidentified damage or failures can also introduce additional errors. In order to achieve a rate-command-attitude-hold (RCAH) system, a proportional-integral (PI) error controller is used to correct for errors detected from roll rate, pitch rate, and yaw rate (p, q, r) feedback.

Learning Neural Network (Level 1): The on-line learning neural networks work in conjunction with the error controller. By recognizing patterns in the behavior of the error, the neural networks can learn to remove biases through control augmentation commands. These commands prevent the integrators from having to windup to remove error biases. By allowing integrators to operate at nominal levels, the neural networks enable the controller to provide consistent handling qualities. The learning neural networks not only helps control the nominal system, but also provides an additional potential for adapting to changes in aircraft dynamics due to control surface failures or airframe damage.

Dynamic Inversion/Aero Generation: The dvnamic inversion element converts the summed response commands into virtual control surface commands. Dynamic inversion is based upon feedback linearization theory. No gain-scheduling is required, since gains are functions of aerodynamic stability and control derivative estimates and sensor feedback. Several methods are available to accomplish approximate model definition: simple linear model methods, nonlinear tables or using pre-trained neural networks (non-changing) to provide estimates of aerodynamic stability and control characteristics. The model is then inverted to solve for the necessary control surface commands. In our work, a Levenberg-Marquardt (LM) multi-layer perceptron<sup>17</sup> is used to provide dynamic estimates for model inversion. The LM network is pre-trained with stability and control derivative data generated by a Rapid Aircraft Modeler, and vortex-lattice code<sup>15</sup>.

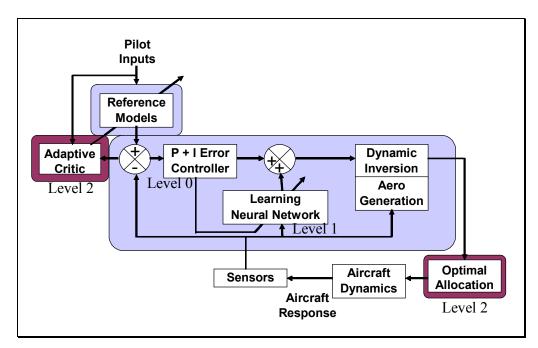


Figure 1. Intelligent flight control architecture

Optimal Allocation (Level 2): This system uses a linear programming technique to optimally allocate required acceleration to available control surfaces based on perceived limits. A best control allocation hierarchy is defined using a set of weightings on individual control usage. The weights depend on the allowed structural limits and user's preferences. These pre-programmed weights will drive the optimal control allocation based on a linear programming formulation. Unconventional flight control surface allocations are only utilized when the primary flight control surface commands exceed the known limits of deflection. For example, yaw rate control is normally provided through rudder deflection. If this command should saturate, then the remaining portion of the command is applied via a blended solution that could result in the deflection ailerons and/or spoilers. This decision is optimally chosen by the control allocation technology based on linear programming.

Adaptive Critic (Level 2): In the event of a severe degradation in performance of an aircraft, pilot handling qualities as dictated by the reference model cannot be maintained. It will be desirable to "optimally" modify the dynamics of the reference model to suit the situation in hand. Towards these goals an adaptive critic is utilized to optimize the shape of the reference model dynamics in the event of a failure or damage.

### 5 Adaptive Critic Application

This paper highlights the adaptive critic application to reference model adaptation. A static reference model is sufficient when the system is functioning normally. The model needs to change when desired performance is not achievable with the available control authority. If

this problem is not rectified, two issues arise: (1) wrong signal for NN training for Level 1; (2) error wind-up. The adaptive critic application to reference model adaptation addresses these issues. Another issue, although not considered in this paper, is the use of engines for rotational control. Engines are not fast enough to provide the same handling qualities. In cases where propulsion control is used, adaptive critics can be used to optimally adjust the reference model frequencies.

The critic is implemented as a Dual-heuristic Dynamic Programming (DHP) critic. The DHP scheme is similar in idea to the HDP scheme, however, in DHP, the critic outputs the derivative of the performance with respect to control directly, which is the signal necessary for adapting the controller. Though DHP is more complex both theoretically and in implementation, it is generally considered to produce better results.

## 5.1 Use of the Reference Model as the Action Network

The overall implementation of the adaptive critic architecture for the aircraft control problem is shown in Figure 2. In many of the methods using adaptive critics, the trained critic is finally used to update the controller. In this implementation, the reference model is looked at as the action network. In other words, the reference model is the input into the closed-loop system consisting of the Level 1 intelligent controller and thus is the right choice as the action network. In the face of any failures or damage, it is sometimes impossible for the controller to achieve the system outputs as demanded by the original reference model. The Level 2 intelligent controller using the adaptive critic neural network, therefore attempts to adapt the parameters of the reference model to provide the system realizable performance.

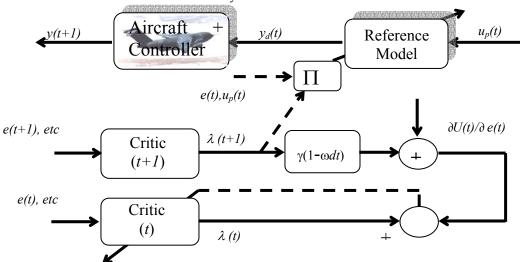


Figure 2. Reference model tuning using the adaptive critic

#### 5.1.1 Adaptive Critic Neural Network

Adaptation is achieved using a single hidden-layer neural network with the following inputs:

- error in the corresponding axes at time t
- error rate in the corresponding axes at time t
- control needed beyond the limits at time t
- pilot inputs at time t

The neural network output is defined to be the derivative of the performance index with respect to the individual error in the axes (roll rate error, pitch rate error, and yaw rate error). The pertinent equations are given below:

(3) 
$$J(t) = \sum_{t=T}^{\infty} U(t) \quad \Rightarrow \quad \lambda_e(t) = \left(\frac{\partial J}{\partial e}\right)_t$$
$$J(t) = f(e^2)$$
$$f(e^2) = \frac{1}{1 + \exp(-m(e^2 - c))}$$

where

e = error in each of the three axes (p, q, r)m, c = constants chosen by the user

A sigmoid function is chosen as a penalty function since it penalizes the performance measure only if the error rises beyond a certain value, c. It can be seen from equation 3, for a value of c=0.01, the performance measure starts getting penalized when the error approaches 0.1 rad/sec. So, for values less than 0.1 rad/sec the penalty is close to zero and for values beyond 0.1 rad/sec the penalty is close to one. At the same time since the penalty function goes from zero to one, it automatically provides itself as a normalized function. The constant m defines the slope of the Sigmoidal curve.

#### 5.1.2 DHP Critic Training Equations

We use a first order reference model for our problem. Given

$$\dot{y}_d = -\omega_d y_d + k_d u_p,$$

subtracting  $\dot{y}$  and  $\omega_d y$  from both sides of the equation and rearranging we get

(Note: 
$$y = actual p, q, r$$
).

(5) 
$$\dot{y} - \dot{y}_d = -\omega_d (y - y_d) - k_d \omega_d u_p + (\dot{y} + \omega_d y)$$

$$\dot{e} = -\omega_d e - k_d \omega_d u_p + (\dot{y} + \omega_d y)$$

$$\dot{e} = -\omega_d e - k_d \omega_d u_p + d\hat{\delta}$$

In the above equation,  $d\hat{\delta} = (\dot{y} + \omega_d y)$  represents the additional control needed and could be seen in this context as an external disturbance that causes error to behave non-optimally.

In discrete form (first order forward differencing),

$$\begin{split} &e_{t+1} - e_t = (-\omega_d e_t - k_d \omega_d u_{p,t} + d\hat{\delta})dt \\ &e_{t+1} = (1 - \omega_d dt)e_t - (k_d \omega_d dt)u_{p,t} + \dot{y}dt + \omega_d ydt \\ &\text{Now, from Dynamic Programming, we know that,} \end{split}$$

(6) 
$$\frac{\min}{\omega_d, k_d} J_t = \frac{\min}{\omega_d, k_d} (\gamma J_{t+1} + U_t),$$
where  $\gamma$  is a discount factor (usually > 0.9)

Now differentiating with respect to  $e_t$  and noting that minimization is an approximation and that J is only an estimate, we have

(7) 
$$\left(\frac{\partial \hat{J}_t}{\partial e_t}\right)_{desired} = \left[\hat{\lambda}_t\right]_{desired} = \gamma \frac{\partial \hat{J}_{t+1}}{\partial e_t} + \frac{\partial U_t}{\partial e_t}$$

with

(8) 
$$\frac{\partial U_t}{\partial e_t} = \frac{2 * m * e * \exp(-m(e^2 - c))}{(1 + \exp(-m(e^2 - c)))^2}$$

and

(9) 
$$\frac{\partial \hat{J}_{t+1}}{\partial e_{t}} = \frac{\partial \hat{J}_{t+1}}{\partial e_{t+1}} \frac{\partial e_{t+1}}{\partial e_{t}} = \left[\hat{\lambda}_{t+1}\right] (1 - \omega_{d} dt)$$

Implying

(10) 
$$\left( \frac{\partial \hat{J}_{t}}{\partial e_{t}} \right)_{desired} = \left[ \hat{\lambda}_{t} \right]_{desired}$$

$$= \gamma \left[ \hat{\lambda}_{t+1} \right] (1 - \omega_{d} dt) + \frac{\partial U_{t}}{\partial e_{t}}$$

The above quantity is the training signal for the critic at time "t"

#### 5.1.3 Reference Model Adaptation Equations

Now we derive the computation of the performance sensitivities,  $(\frac{\partial J}{\partial \omega_{d1}} \text{ and } \frac{\partial J}{\partial k_d})$ , for adapting the reference

model parameters,  $\omega_{d1}$ ,  $k_d$ . In the rest of the presentation, the notation ' $^{^{\wedge}}$ ' has been dropped for convenience.

$$\begin{split} &\frac{\partial J_{t+1}}{\partial \omega_d} = \left[\frac{\partial J_{t+1}}{\partial e_{t+1}}\right] \left(\frac{\partial e_{t+1}}{\partial \omega_d} + \frac{\partial e_{t+1}}{\partial e_t} \frac{\partial e_t}{\partial \omega_d} + \frac{\partial e_{t+1}}{\partial e_t} \frac{\partial e_t}{\partial e_{t-1}} \frac{\partial e_{t-1}}{\partial \omega_d} + \ldots\right) \\ &= -\lambda_{t+1} \left(f_t dt + (1 - \omega_d dt) f_{t-1} dt + (1 - \omega_d dt)^2 f_{t-2} dt + \ldots\right) \\ &= -\lambda_{t+1} \left(\sum_{j=0}^{j=N} (1 - \omega_d dt)^j f_{t-j} dt\right) \end{split}$$

where

$$f_t = (e_t - y_t + k_d u_{p,t})$$

If we let  $S_1=f_0dt$ , one can write a dynamic equation for the quantities under summation as follows,

(11) 
$$S_{t+1} = (1 - \omega_d dt) S_t + f_t dt, \quad \infty \le t \le 1$$

hence.

(12) 
$$\frac{\partial J_{t+1}}{\partial \omega_d} = -\lambda_{t+1} S_{t+1}$$

Similarly,

(13) 
$$\frac{\partial J_{t+1}}{\partial k_d} = -\lambda_{t+1} R_{t+1}$$

where,

$$(14) R_1 = \omega_d u_{n,0} dt$$

(15) 
$$R_{t+1} = (1 - \omega_d) R_t + \omega_d u_{p,t} dt$$

A smoothing algorithm can be used to desensitize the adaptation to sensor noise and other unwanted variations in the error estimate. This is achieved using a smoothing algorithm given below:

(16) 
$$\frac{\partial J}{\partial \omega_d} = -\frac{1}{n+1} \sum_{t=t_{now}}^{t_{now}-n*dt} \lambda_t e_{t-dt} dt$$

$$(17) \qquad \frac{\partial J}{\partial k_d} = -\frac{1}{n+1} \sum_{t=t_{now}}^{t_{now}-n*dt} \lambda_t u_{p,t-dt} dt$$

Now the parameters of the reference model can be adapted using the following gradient descent equations

(18) 
$$\omega_{d} = \omega_{d} - \eta_{\omega} \frac{\partial J}{\partial \omega_{d}} + b_{1},$$

$$\omega_{dL} \leq \omega_{d} \leq \omega_{dU}$$

(19) 
$$k_d = k_d - \eta_k \frac{\partial J}{\partial k_d} + b_2, \quad k_{dL} \le k_d \le k_{dU}$$

where,

$$\eta_{\omega}, \eta_{k}$$
 = adaptation constants. 
$$b_{1}, b_{2}$$
 = learning biases. 
$$\omega_{dU}, \omega_{dL}, k_{dU}, k_{dL}$$
 = Upper and lower limits of

Learning biases are used to recover the original frequencies and gains when the failure is removed. Also, by limiting the frequency and the gain, stability of the reference model is maintained.

#### 5.1.4 An Optimistic Retrospective Critic (ORC)

As a first approximation, it is assumed that the critic's adaptation has progressed in the right direction and that the performance will achieve a stationarity condition in the next time step of interest. This is equivalent to saying that  $\lambda_{t+1} = 0$ . Using this assumption, the  $\lambda$ 's are computed for preceding n time steps using equation 10 and the reference model is adapted using the rest of the equations through equation 19. This approach is named here as the Optimistic Retrospective Critic (ORC) to reflect the fact the approach is both optimistic (assuming  $\lambda_{t+1} = 0$ ) and looks at n time steps into the past to compute the corrected  $\lambda$ 's. The ORC approach can be seen as the bench mark by which the adaptive critic performance can be evaluated.

## 5.2 Application of the Critic approaches to the C-17

#### 5.2.1 The Problem

The C-17 airplane is a high performance military transport with a quad-redundant Fly-by-Wire Flight Controls System. The flexibility of its control architecture makes it a suitable platform for various types of research in support of safety initiatives and for preliminary investigation of changes to improve production C-17 operation. The C-17 has 22 controllable aerodynamic surfaces and 4 jet engines.

These control surfaces together provide a high level of analytical redundancy in the event of failure. The IFC architecture exploits the analytical redundancy by optimally allocating the surfaces to achieve desired accelerations<sup>16</sup>. In the event of severe damage or failures, the requested response characteristics (both frequency and gain) cannot be attained and hence the reference model needs to be adapted. In the next section, we present results of using the adaptive critic approach for various failure scenarios and for two different critic approaches.

#### 5.2.2 Piloted Study using the ORC

This paper presented the concept of an adaptive critic adapting the reference model to obtain a match between the reference model and the current capabilities of the aircraft. In addition to testing the system using time response characteristics, the Level 2 Intelligent Flight Control architecture was tested for its characteristics damage-adaptive using simulation study. The objectives of this study were to evaluate the benefits of the improvements from a Level 1 IFC to the Level 2 IFC. The IFC architecture was evaluated utilizing a full-motion simulator available in the Crew Vehicle Systems Research Facility (CVSRF) at NASA-Ames Research Center. The Advanced Concepts Flight Simulator (ACFS), was modified to accommodate a model of a Boeing C-17 aircraft. The simulator is equipped with a six degree-of-freedom motion system, programmable flight displays, digital sound and aural cueing system, and a 180-degree field of view visual system. The Boeing C-17 is a fly-bywire transport aircraft with a stabilizer, four elevators, two ailerons, eight spoiler panels and two rudders, for a total of 17 surfaces used for active control. Slats, flaps and engines were not used by the IFC for control of the aircraft configuration. The pilots could manually utilize these surfaces for trim control of the aircraft.

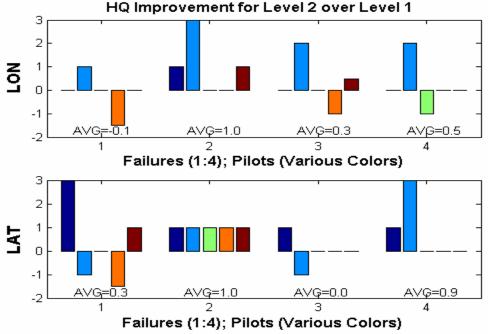
For the piloted study, it was decided to use the ORC approach for its simplicity and due to constraints posed by the scheduling of the pilots. Although the ORC approach is an approximation, it provided a good

alternative to the NN-based adaptive critic approach for the pilot-in-the-loop application. The failure scenarios considered for this study is shown in Table 1.

Five different C-17 qualified pilots flew and compared the Level 2 controller and the Level 1 controller. The pilots gave a rating based on the Cooper-Harper Scale. Results presented in Figure 3 clearly demonstrated the benefit of the optimal nature of the Level 2 controller.

**Table 1. Failure Scenarios** 

Table 1. Failure Scenarios		
C17	Scenario Characteristics:	
Scenario	Winds 190 @ 10, light turbulence	
1	Full tail failure. Stabilizer failed at trim.	
	2 rudders, 4 elevators failed at 0 deg.	
2	2 ailerons and 8 spoiler panels failed at 0	
	deg.	
3	Two engines out on one side on takeoff,	
	rotate speed + 10Kts.	
4	During tactical descent (failures on one	
	side)	
	• 23,000': Stab frozen at trim	
	• 20,000': 2 Elevators frozen at 0 deg.	
	• 17,000': Upper rudder hard over	
	• 15,000': Outboard flap fails retracted	
	• 14,000': Aileron frozen at 0 deg.	
	• 13,000': Two outboard spoilers	
	frozen at 0 deg.	
	• When engines come out of reverse:	
	Outboard engine seizes.	



**Figure 3.** Cooper-Harper rating for various piloted failure scenarios (HQ: Handling Qualities rating using a Cooper-Harper scale).

Figures 4 and 5 present sample plots for two failure conditions. It is interesting to see the adaptation in the reference model parameters and the overall performance of the piloted aircraft for the landing scenarios. In particular, the coupled failure scenario shows that the ORC approach adapts the parameters only when necessary to accommodate larger errors. This is seen in subplot 2 ands 3 in which the adaptation begins only after the third failure signaling that the first three failures did not need any changes to the reference model.

#### 5.2.3 Adaptive Critic Application to C-17

In this section we present results obtained using the adaptive critic approach for two failure scenarios. An external testing program is used to stimulate pilot commands into the simulation that are intended to exercise the roll control of the aircraft for a wing hydraulic failure scenario (control failures in ailerons and spoilers) and pitch control for a tail failure (control failures in elevators and rudder).

Before starting the sequence, the aircraft has been flying in level flight with the autopilot, proceeding at 250 kts on heading 330 magnetic at 8000 feet MSL altitude. The Level 1 IFC is active. The adaptive critic has been observing the system, slowly adjusting the gains and frequencies of the reference model.

At approximately 160 seconds into the data run (see Figures 6 and 7), all ailerons and spoilers are failed at their idle position for the wing failure case (Figure 6) and both rudders and all elevators and stabilizer are failed at their idle position for the tail failure case (Figure 7). The flight control software must achieve its roll and pitch control, for the respective failures, using nontraditional deployment of the remaining surfaces.

The adaptive critic correctly notices the degradation in performance, and rapidly changes gain and frequency values for the reference model. Subsequent control inputs allow the critic to refine these values.

#### 6 Conclusions

This paper presented a Level 2 intelligent control architecture that utilizes an adaptive critic to tune the parameters of a reference model, which is then used to define the angular rate commands for a Level 1 intelligent controller. The goal of this on-going research is to improve the performance of the C-17 under degraded conditions such as control failures and battle damage. A simplified version of the adaptive critic was used for the piloted study. Pilot ratings using a motion based simulation facility showed good

handling quality improvement over static reference model approach.

Adaptive critic approaches are ideally suited for outer-loop trajectory management. Challenges going forward will be to generalize this technology for higher order reference models. Stability of the first order model was easy to ascertain by limiting the parameters. For higher order models, efforts are underway in arriving adaptation laws that guarantee stability.

One of the issues that came up during manned flight was the concept of "control harmony". When reference model gains are modified in one axis and not proportionately in the other, pilots experience a bigger coupling effect at the stick input. Efforts are underway to quantify this effect and to derive certain adaptation heuristics to avoid this man-machine interaction issue.

### 7 Acknowledgement

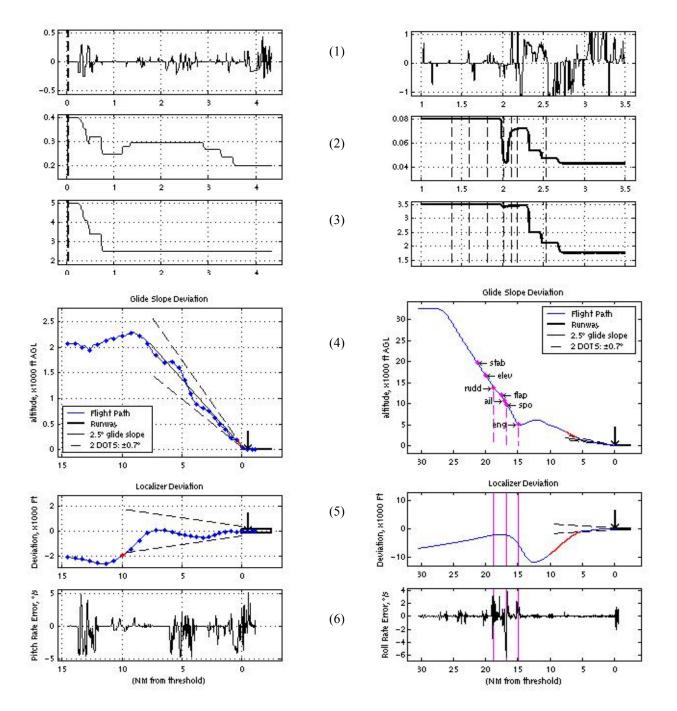
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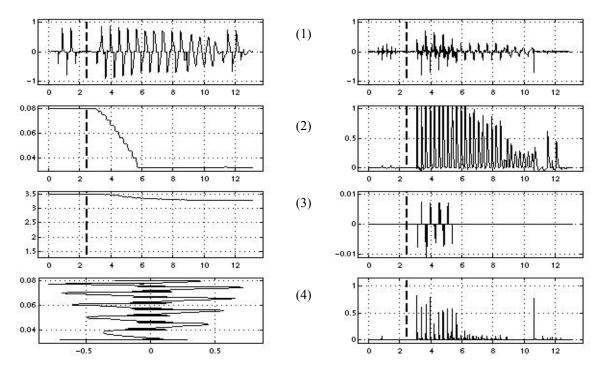
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**Figure 4.** Data taken during piloted simulation testing (dataset 20030512/122330) using ORC configuration of the critic; the pilot flew a landing with a full tail hydraulic failure (Scenario #1, Table 1). Subplot 1: Normalized stick input to the system. Horizontal axis is elapsed time in minutes. Subplot 2: Reference model gain parameter (*k*) for the pitch control of the system; Subplot 3: Reference model pitch frequency. Subplot 4: Standard approach plot of glide slope deviation. Horizontal axis is Nautical Miles from threshold. Subplot 5: Standard approach plot of localizer deviation. Subplot 6: Pitch rate error in degrees per second.

**Figure 5.** Data taken during piloted simulation testing (dataset 20030512/133532) using ORC configuration of the critic; the pilot flew an assault descent through to a landing with a series of cascaded failures (Scenario #4, Table 1). Subplot 1: Normalized stick input to the system. Horizontal axis is elapsed time in minutes. Subplot 2: Reference model gain parameter (*k*) for the pitch control of the system; Subplot 3: Reference model pitch frequency. Subplot 4: Standard approach plot of glide slope deviation. Horizontal axis is Nautical Miles from threshold. Subplot 5: Standard approach plot of localizer deviation. Subplot 6: Pitch rate error in degrees per second.



**Figure 6.** A synthetic exercise with many doublets in the roll axis; full wing hydraulic failure induced at the vertical dashed mark. Subplot 1 (Left): Normalized stick input to the system. Horizontal axis is elapsed time in minutes. Subplot 2 (Left): Reference model gain parameter (*k*) for the roll control of the system; Subplot 3 (Left): Reference model roll frequency. Subplot 4 (Left): vertical axis represents the reference model gain (*k*) and the horizontal axis presents the error in the roll rate. Subplot 1 (Right): Normalized rate error in roll axis. Horizontal axis is elapsed time in minutes. Subplot 2 (Right): Partial derivative of J with respect to the gain, *k*. Subplot 3 (Right): Excess control demanded in roll axis. Subplot 4 (Right): Square of the error in the adaptive critic network.

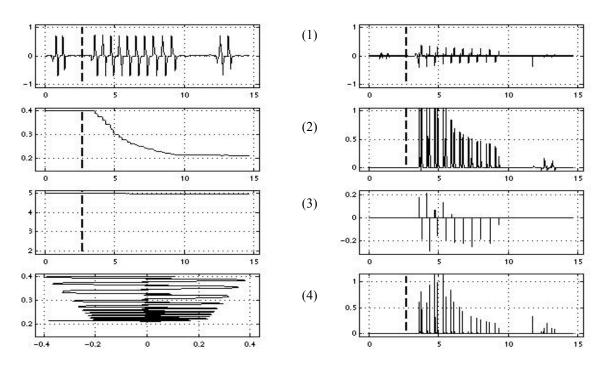


Figure 7. Pitch axis plots for a full tail hydraulic failure (Scenario 1). Descriptions are the same as for Figure 6.